

GAU/2766  
2531  
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

PAUL J. SEGER

Serial No.: 09/435,899

Filed: November 8, 1999

For: WIRELESS SECURITY ACCESS MANAGEMENT FOR A PORTABLE DATA STORAGE CARTRIDGE

**SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT  
BEFORE MAILING DATE OF EITHER A FINAL ACTION  
OR NOTICE OF ALLOWANCE (37 CFR 1.97(c))**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to Section 707.05(b) of the MPEP and 37 CFR 1.97 and 1.98, a copy is herewith submitted (attached to form PTO-1449, Rev. 7-80) of documents which may be relevant to the invention as claimed in the above-identified application.

It is requested that the Examiner fully consider the documents cited, initial the leftmost column of the attached PTO Form 1449 adjacent each cited document, and return a copy of the Form 1449 for applicants' records. It is further requested that the documents be cited on the cover of any patent issuing from the subject application.

The citation of the above documents should not be construed as a representation that an exhaustive search has been made, or that other, more pertinent information is not available. Nor does this statement constitute an admission by applicant or applicants' attorney that the information provided herein is necessarily relevant information to applicants' invention. Moreover, applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references.

The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either a final action under 1.113 or a notice of allowance under 1.311, whichever occurs first.

Accompanying this Information Disclosure Statement is

— a certification as specified in 37 CFR 1.97(e)

OR

S/N 09/435,899

-1-

TUC919990050US1

RECEIVED  
FEB 11 2002  
Technology Center 2100



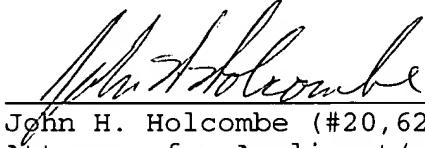
the fee set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under 1.97(c). (\$180.00)

Please charge Deposit Account 09-0449 in the amount of \$180.00.  
A duplicate of this request is attached.

If any additional fees are due, please charge Deposit Account 09-0449.



Respectfully submitted,  
Paul J. Seger

By: 

John H. Holcombe (#20,620)  
Attorney for Applicant(s)  
IBM Corporation, IP Law  
9000 S. Rita Road, 90AA/9032  
Tucson, Arizona 85744  
(520) 760-6629

JHH/cw

**CERTIFICATE OF MAILING**

I hereby certify that the above paper/fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, DC 20231 on JANUARY 25, 2002.

Person mailing paper/fee: Ceil White

Signature Ceil White

RECEIVED  
Technology Center 2100  
FEB 11 2002